#### WO 2004/013190

# Silane-containing polyvinyl alcohols and polyvinyl acetals

The invention relates to silane-containing polyvinyl alcohols and polyvinyl acetals, to processes for their preparation and to their use.

The prior art discloses a series of processes for preparing silane-containing polymers. The preparation may be effected, for example, by means of polymer-like 10 reaction of functional polymers with silylating agents. US-A 5,036,137, for example, describes the preparation of silylated polyvinyl alcohol by reacting polyvinyl 3-glycidoxypropyltrimethoxysilane alcohol with EP-A 581576 discloses the vinyltrimethoxysilane. 15 reaction of OH-functional polymers such as polyvinyl alcohol with alkylorthosilicates or alkyltrialkoxysilanes.

Silane-containing polymers may also be prepared by means of copolymerization of silane-functional, ethylenically unsaturated monomers. EP-A 123927 relates to silyl-modified polyvinyl alcohols which are obtainable, among other routes, by copolymerization of vinyl esters with silyl-functional comonomers and subsequent hydrolysis.

A further route is the introduction of silane groups into the polymer by terminating the polymer chain with thio compounds containing Si groups, for 30 mercaptosilanes, as described in US-A 6346570; termination by means of Michael addition of functional silanes, as disclosed by US-A 6221994. WO-A 01/09249 silane-functional of discloses the preparation copolymers by means of copolymerization with vinyl-35 silane and termination of the polymer chain with mercaptosilane. A disadvantage in the termination of polymer chains with mercaptosilanes is their toxicity

and their tendency to add to double bonds. Furthermore, an excessively these mercaptosilanes have tendency to control the molecular weight, which is manifested in too great a reduction in the molecular In addition, the reaction rate weight. reduced. Further polymerization is frequently disadvantages in the use of mercaptosilanes are their tendency to yellowing and their unpleasant odor.

Silane-modified polyvinyl acetals are disclosed by four 10 Japanese publications. JP-A 06-247760 and JP-A 06-248247 disclose polyvinyl acetals modified with silanefunctional monomer units, which are used as binder for cement compositions or for inorganic fiber materials.

These Si-modified polyvinyl acetals are obtained by 15 copolymerizing vinyl acetate with vinylalkoxysilanes, subsequently hydrolyzing the vinyl ester-vinylsilane copolymers and finally acetalizing with aldehyde. JP-A 10-237259 relates to a mixture of silane-modified

polyvinyl acetal with unmodified polyvinyl acetal for 20 coating materials for printing with inkjet printers, vinyl ester-vinylsilane copolymers which initially hydrolyzed subsequently mixed with and unmodified polyvinyl alcohol in order to be acetalized with aldehyde. JP-A 62-181305 finally discloses a 25 polyvinyl butyral modified with triethoxyisocyanatopropylsilane. In this case, the alkoxysilane group is

reaction via a urethane bond (by reaction of isocyanate group of the silane with free hydroxyl 30 groups on the polyvinyl butyral).

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polymer structure by polymer-like

DE-A 10140129 discloses the acetalization of partly hydrolyzed or fully hydrolyzed vinyl ester polymers with silane-containing aldehydes. 35

invention provides silane-containing polyvinyl The alcohols and polyvinyl acetals, obtainable by means of

- a) free-radical polymerization of one or more vinyl esters of unbranched or branched alkylcarboxylic acids having from 1 to 18 carbon atoms in the presence of silane-containing aldehydes or hemiacetals or full acetals thereof,
- b) hydrolysis of the thus obtained vinyl ester polymers, and optionally
- c) acetalization of the partly hydrolyzed or fully hydrolyzed vinyl ester polymers.

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Suitable vinyl esters are vinyl esters of unbranched or branched carboxylic acids having from 1 to 18 carbon atoms. Preferred vinyl esters are vinyl acetate, vinyl propionate, vinyl butyrate, vinyl 2-ethylhexanoate,

vinyl laurate, 1-methylvinyl acetate, vinyl pivalate and vinyl esters of  $\alpha$ -branched monocarboxylic acids having from 5 to 13 carbon atoms, for example VeoVa9<sup>R</sup> or VeoVa10<sup>R</sup> (trade names of Shell). Particular preference is given to vinyl acetate

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In addition to the vinyl ester units, one or more monomers from the group consisting of methacrylic esters and acrylic esters of alcohols having from 1 to 15 carbon atoms, olefins, dienes, styrenics and vinyl halides may optionally also be copolymerized. Suitable monomers from the group of the esters of acrylic acid methacrylic acid are esters of unbranched or branched alcohols having from 1 to 15 carbon atoms. Preferred methacrylic esters or acrylic esters are methyl acrylate, methyl methacrylate, ethyl acrylate, ethyl methacrylate, propyl acrylate, methacrylate, n-, iso- and t-butyl acrylate, n-, isot-butyl methacrylate, 2-ethylhexyl acrylate, norbornyl acrylate. Particular preference is given to methyl acrylate, methyl methacrylate, n-, iso- and t-2-ethylhexyl acrylate, acrylate, butyl norbornyl Suitable dienes are 1,3-butadiene acrylate.

isoprene. Examples of polymerizable olefins are ethene

and propene. Styrenics which may be copolymerized are styrene and vinyltoluene. From the group of the vinyl halides, typically vinyl chloride, vinylidene chloride or vinyl fluoride, preferably vinyl chloride, are used. The proportion of these comonomers is such that the proportion of vinyl ester monomer is  $\geq 50$  mol% in the vinyl ester polymer.

Still further comonomers may optionally be present in a proportion of preferably from 0.02 to 20% by weight, 10 based on the total weight of the vinyl ester polymer. Examples thereof are ethylenically unsaturated monodicarboxylic acids, preferably acrylic methacrylic acid, fumaric acid and maleic acid; ethylenically unsaturated carboxamides and carbo-15 nitriles, preferably N-vinylformamide, acrylamide and acrylonitrile; mono- and diesters of fumaric acid and maleic acid such as the diethyl and diisopropyl esters, and also maleic anhydride, ethylenically unsaturated thereof, salts preferably 20 sulfonic acids or vinylsulfonic acid, 2-acrylamido-2-methylpropanesulprecrosslinked acid. Further examples are fonic as ethylenically polyunsaturated comonomers such for example divinyl adipate, diallyl comonomers, maleate, allyl methacrylate, butanediol diacrylate or 25 triallyl cyanurate, or postcrosslinked comonomers, for example acrylamidoglycolic acid (AGA), methyl methyl-N-methylolacrylamide acrylamidoglycolate (MAGME), N-methylolmethacrylamide, N-methylolallyl (NMA), carbamate, alkyl ethers such as the isobutoxy ether or 30 of N-methylolacrylamide, ester or N-methylolmethacrylamide of N-methylolallyl and carbamate.

- 35 Suitable silane-containing aldehydes or hemiacetals or full acetals thereof can be specified by the following structural formulae I to VI:
  - I)  $R_3Si-[OSiR_2]_y-(CH_2)_x-CH=O$  (aldehyde),

- $R_3Si-[OSiR_2]_v-(CH_2)_x-CH(OR^1)_2$ (hemiacetal/full II) acetal),
- III)  $R_3Si-[OSiR_2]_v-(CH_2)_z-Ar-(CH_2)_z-CH=O$  (aldehyde),
- $R_3Si-[OSiR_2]_v-(CH_2)_z-Ar-(CH_2)_z-CH(OR^1)_2$
- (hemiacetal/full acetal), 5
  - $O=CH-(CH_2)_x-Si(R)_2-O-Si(R)_2-(CH_2)_x-CH=O$  (aldehyde dimers),
  - VI)  $[SiO(R)-(CH_2)_z-CH=O]_{3-4}$  (cyclic tri- and tetramers),
- 10 where R is the same or different and is halogen, preferably Cl or Br; is an unbranched or branched, saturated or unsaturated, optionally substituted alkyl or alkoxy radical having from 1 to 12 carbon atoms, preferably having from 1 to 3 carbon atoms; is an acyl radical having from 2 to 12 carbon atoms, where R may 15 optionally be interrupted by heteroatoms from the group consisting of N, O, S; is an optionally substituted aryl or aryloxy radical having 3 to 20 carbon atoms, where the aromatic may also contain one or more heteroatoms from the group consisting of N, O, S. One R 20 radical may preferably be hydrolyzed in order to form a free silanol group Si-OH.
- $R^1$ the definition of unbranched or branched, has 25 saturated or unsaturated, optionally substituted alkyl radical having from 1 to 12 carbon atoms, preferably from 1 to 3 carbon atoms, which may optionally be interrupted by heteroatoms from the group consisting of N, O, S. However, R<sup>1</sup> may also be H, in which case a hemiacetal is present when one R1 radical is H, or an 30 aldehyde hydrate when both R1 radicals are H. Ar is an aromatic group, preferably phenylene, and this also aromatics which contain one includes or heteroatoms from the group consisting of N, O, S. These 35 aromatics may also have different substitution.

x is a number from 2 to 40, preferably from 2 to 10. y is a number from 0 to 100, preferably a number from 0

to 10. z is a number between 0 and 20, preferably from 0 to 5.

The silane-containing aldehydes are used in an amount of from 0.0001 to 5.0% by weight, preferably from 0.01 to 4.0% by weight, more preferably from 0.1 to 3.0% by weight, based in each case on the total weight of the monomers.

Preferred silane-containing aldehydes or the hemi-10 acetals or full acetals thereof are those of the structural formulae I and II, especially those aldehyde derivatives which can be obtained by hydrosilylation of acrolein or the hemiacetal or full acetal thereof, for example 3,3-diethoxypropyltriethoxysilane, 3,3-dimeth-15 oxypropyltriethoxysilane, 3,3-diethoxypropyltrimethoxy-3,3-dimethoxypropyltrimethoxysilane, ethoxypropylmethyldiethoxysilane, 3,3-dimethoxypropyldiisopropoxymethylsilane, 3,3-diethoxypropyldimethylethoxysilane, 3,3-dimethoxypropyldiisopropoxymethyl-20 3,3-dimethoxypropyltripropoxysilane, 3,3-dimethoxypropyltriisopropoxysilane, 3,3-dipropoxypropyl-3,3-diethoxypropylmethyldimethoxytriethoxysilane, silane, 3,3-diethoxypropyldimethylmethoxysilane, 3,3-dimethoxypropylmethyldimethoxysilane, 3,3-dimeth-25 oxypropyldimethylmethoxysilane, 3,3-dimethoxypropyl-3-(trimethoxysilyl)propionaldemethyldiethoxysilane, 3-(triethoxysilyl)propionaldehyde, 3-trimethylsilylpropionaldehyde, 3-(methyldiethoxy)silylpropionaldehyde. Preference is also given to 4-(trimethoxy-30 silyl)butyraldehyde and 1,1'-diethylformyltetramethyldisiloxane (dimer according to V). The free aldehyde groups are released by hydrolysis in an aqueous medium

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To increase the degree of silanization, ethylenically unsaturated, silane-containing monomers may additionally also be copolymerized. Suitable ethylenically

in the case of the hemiacetals and full acetals.

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unsaturated, silane-containing monomers are, example, ethylenically unsaturated silicon compounds of the general formula  $R^2SiR^3_{0-2}(OR^4)_{1-3}$  where  $R^2$  is defined as  $CH_2=CR^5-(CH_2)_{0-1}$  or  $CH_2=CR^5CO_2(CH_2)_{1-3}$ ,  $R^3$  is defined as  $C_1$ - to  $C_3$ -alkyl radical,  $C_1$ - to  $C_3$ -alkoxy radical or halogen, preferably Cl or Br, R4 is an unbranched or branched, optionally substituted alkyl radical having from 1 to 12 carbon atoms, preferably from 1 to 3 carbon atoms, or is an acyl radical having from 2 to 12 carbon atoms, where R4 may optionally be interrupted by an ether group, and  $R^5$  is H or  $CH_3$ . The ethylenically unsaturated, silane-containing monomers are preferably copolymerized in an amount of from 0.01 to 10.0% by weight, more preferably from 0.01 to 1.0% by weight, based in each case on the total weight οf comonomers.

Preferred ethylenically unsaturated, silane-containing monomers are γ-acryloyl- or γ-methacryloyloxypropyltri-(alkoxy) silanes,  $\alpha$ -methacryloyloxymethyltri(alkoxy)-20  $\gamma$ -methacryloyloxypropylmethyldi(alkoxy)silanes, such as vinylalkyldi(alkoxy)silanes; vinylsilanes silanes and vinyltri(alkoxy)silanes, in which the alkoxy groups used may be, for example, methoxy, ethoxy, methoxyethylene, ethoxyethylene, methoxypropy-25 ether or ethoxypropylene glycol ether glycol Examples of preferred silane-containing radicals. 3-methacryloyloxypropyltrimethoxysilane, are 3-methacryloyloxypropylmethyldimethoxysilane, vinyltrivinylmethyldimethoxysilane, vinyltri-30 methoxysilane, ethoxysilane, vinylmethyldiethoxysilane, vinyltriprovinyltriisopropoxysilane, vinyltrispoxysilane, vinyltributoxysilane, (1-methoxy) isopropoxysilane, vinyltriacetoxysilane, methacryloyloxymethyltrimethoxy-3-methacryloyloxypropyltris(2-methoxyethoxy)silane, 35 vinylchlorosilane, vinylmethyldichlorosilane, silane, vinyltris(2-methoxyethoxy)silane, trisacetoxyvinylallylvinyltrimethoxysilane, allyltriacetoxysilane,

silane, vinyldimethylmethoxysilane, vinyldimethylethoxysilane, vinylmethyldiacetoxysilane, vinyldimethylacetoxysilane, vinyltriisopropyloxysilane, vinyltriibutoxysilane, vinyltriibutoxysilane, vinyltriibutoxysilane, vinyltriibutoxysilane, vinyltriibutoxysilane, vinyltriibutoxysilane, vinyltriibutoxysilane, vinylmethoxydiibutoxysilane, vinyltriibutoxysilane, vinyldimethoxydiibutoxysilane, vinylmethoxydiibutoxysilane, vinylmethoxydiibutoxysilane, vinylmethoxydiibutoxysilane, vinyldimethoxylauryloxysilane and also polyethylene glycol-modified vinylsilanes.

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The most preferred silanes are vinyltrimethoxysilane, vinylmethyldimethoxysilane, vinyltriethoxysilane, vinyltriethoxysilane, vinyltris(1-methoxy)isopropoxysilane, methacryloyloxypropyltris(2-methoxyethoxy)-silane, 3-methacryloyloxypropyltrimethoxysilane, 3-methacryloyloxypropylmethyldimethoxysilane and methacryloyloxymethyltrimethoxysilane and mixtures thereof.

Suitable ethylenically unsaturated, silane-containing monomers are also (meth)acrylamides containing silane 20 groups which are of the general formula CH2=CR6-CO-NR7- $R^8 - SiR^9_{n} - (R^{10})_{3-m}$  where n = from 0 to 4, m = from 0 to 2,  ${\bf R}^6$  is either H or a methyl group,  ${\bf R}^7$  is H or an alkyl group having from 1 to 5 carbon atoms; R<sup>8</sup> is an alkylene group having from 1 to 5 carbon atoms or a 25 bivalent organic group in which the carbon chain is interrupted by an oxygen or nitrogen atom, R<sup>9</sup> is an alkyl group having from 1 to 5 carbon atoms,  $R^{10}$  is an alkoxy group having from 1 to 40 carbon atoms which may be substituted by further heterocycles. In monomers in 30 which 2 or more  $R^6$  or  $R^{10}$  groups occur, they may be identical or different. The (meth)acrylamidoalkylsilanes are also preferably copolymerized in an amount of from 0.01 to 10.0% by weight, more preferably from 0.01 to 1.0% by weight, based in each case on the total 35 weight of the comonomers.

Examples of such (meth)acrylamidoalkylsilanes are:

3-(meth)acrylamidopropyltrimethoxysilane, 3-(meth)-3-(meth)acrylamidoacrylamidopropyltriethoxysilane, propyltri ( $\beta$ -methoxyethoxy) silane, 2-(meth) acrylamido-2methylpropyltrimethoxysilane, 2-(meth)acrylamido-2methylethyltrimethoxysilane, N-(2-(meth)acrylamido-5 3-(meth)acrylamidoethyl)aminopropyltrimethoxysilane, 2-(meth)acrylamidoethyltripropyltriacetoxysilane, methoxysilane, 1-(meth)acrylamidomethyltrimethoxy-3-(meth)acrylamidopropylmethyldimethoxysilane, silane, 3-(meth)acrylamidopropyldimethylmethoxysilane, 3 - (N -10 methyl(meth)acrylamido)propyltrimethoxysilane, 3-((meth)acrylamidomethoxy)-3-hydroxypropyltrimethoxy-3-((meth)acrylamidomethoxy)propyltrimethoxysilane, N, N-dimethyl-N-trimethoxysilylpropyl-3-(meth)silane, acrylamidopropylammonium chloride and N,N-dimethyl-N-15 trimethoxysilylpropyl-2-(meth)acrylamido-2-methylpropylammonium chloride.

The vinyl ester polymers may be prepared in a known manner by means of polymerization; preferably by bulk 20 polymerization, suspension polymerization polymerization in organic solvents, more preferably in alcoholic solution. Suitable solvents and regulators are, for example, methanol, ethanol, propanol, isopro-25 panol. The polymerization is carried out under reflux at a temperature of from 50°C to 100°C and the freereaction is initiated by adding common radical of common initiators are initiators. Examples percarbonates such as cyclohexyl peroxodicarbonate or peresters such as t-butyl perneodecanoate or t-butyl 30 perpivalate.

The adjustment of the molecular weight may be effected in a known manner by the solvent content, by variation of the initiator concentration, by variation of the 35 by addition temperature, but in particular variation of of the silane-containing the amount aldehyde. The monomers may be initially charged in

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their entirety, be metered in their entirety or be initially charged in portions and the remainder added initiation of the polymerization. after the meterings may be carried out separately (spatially and temporally) or some or all of the components to be metered may be metered in preemulsified form. silane-containing aldehydes or the hemiacetals or full acetals thereof which are used as regulators may be initially charged in their entirety, metered in their entirety or be initially charged in portions and the remainder metered in. Preference is given to initially charging a portion and metering in the remainder. Particular preference is given to adding regulators and monomers in such a way their molar ratio remains the same. This measure provides a homogeneous molecular weight distribution.

In addition to the silane-containing aldehydes used as regulators, it is also additionally possible to use further regulators based on silane-containing compounds or on aldehydes.

The hydrolysis of the silanized vinyl ester polymers to silane-containing polyvinyl alcohols is effected in a manner known per se, for example by the belt or kneader in an alkaline or acidic medium with the process, addition of acid or base. Preference is given to taking silanized polyvinyl ester solid resin the alcohol, for example methanol, while setting a solids content of from 15 to 70% by weight. Preference is given to carrying out the hydrolysis in a basic medium, for example by adding NaOH, KOH or NaOCH3. The base is generally used in an amount of from 1 to 5 mol% per mole of ester units. The hydrolysis is carried out at temperatures of from 30°C to 70°C. On completion of the solvent is distilled off hydrolysis, the polyvinyl alcohol is obtained as a powder. However, the alcohol be silane-containing polyvinyl may also

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obtained as an aqueous solution by a gradual addition of water while the solvent is distilled off.

The partly or fully hydrolyzed, silanized vinyl ester polymers preferably have a degree of hydrolysis of from 50 mol% to 99.99 mol%, more preferably of from 70 mol% to 99 mol%, most preferably of > 96 mol%. Fully hydrolyzed refers to those polymers whose degree of hydrolysis is ≥ 96 mol%. Partly hydrolyzed polyvinyl alcohols refer to those having a degree of hydrolysis of ≥ 50 mol% and < 96 mol%. The viscosity of the 53015, Höppler method; polyvinyl alcohol (DIN solution in water) is from 1 to 60 mPas, preferably from 1 to 6 mPas, and serves as a measure of the molecular weight and of the degree of polymerization of the partly or fully hydrolyzed, silanized polyvinyl alcohols.

For the acetalization, the partly or fully hydrolyzed silane-containing polyvinyl esters are preferably taken 20 up in an aqueous medium. Typically, a solids content of the aqueous solution of from 5 to 30% by weight is set. The acetalization is effected in the presence of acidic catalysts such as hydrochloric acid, sulfuric acid, nitric acid or phosphoric acid. Preference is given to 25 setting the pH of the solution to values of < 1 by adding 20% hydrochloric acid. After the catalyst has been added, the temperature of the solution is adjusted to preferably from  $-10^{\circ}$ C to  $+60^{\circ}$ C. The lower the molecular weight of the silane-modified polyvinyl 30 alcohol used, the lower the precipitation temperature selected. The acetalization reaction is started by adding the aldehyde(s).

For the acetalization, the partly or fully hydrolyzed 35 vinyl ester polymers are reacted with aliphatic or aromatic aldehydes having preferably from 1 to carbon atoms which may optionally be substituted by one

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or more substituents from the group consisting of hydroxyl, carboxyl and sulfonate, ammonium, aldehyde radicals. If appropriate, the aldehydes may also be used in masked form, for example as hemiacetals or full acetals, or with protecting groups. Preferred aldehydes from the group of the aliphatic aldehydes having from 1 to 15 carbon atoms are formaldehyde, acetaldehyde, propionaldehyde and most preferably butyraldehyde or a mixture of butyraldehyde and acetaldehyde. The aromatic aldehydes used may be, for example, benzaldehyde or derivatives thereof.

The amount of aldehyde added depends upon the desired Since the acetalization degree οf acetalization. proceeds with virtually complete conversion, the amount 15 may be determined by simple stoichiometric calculation. On completion of the addition of the aldehyde, the acetalization is completed by heating the mixture to from 20°C to 70°C and stirring for several hours, preferably from 1 to 6 hours, and 20 pulverulent reaction product is isolated by filtration and a subsequent washing step. For the stabilization, it is also possible to use alkalis, for example NaOH or KOH. During the precipitation and the aftertreatment, it is possible to work with emulsifiers in order to 25 suspension of the aqueous stabilize silanethe containing polyvinyl acetal.

Polyvinyl acetals having a high degree of acetalization (> 40 mol%) precipitate out in the aqueous medium in the course of the acetalization. Polyvinyl acetals having a low degree of acetalization (≤ 20 mol%) which are significantly more water-soluble may be precipitated as a solid by adding them dropwise to precipitation solvents. Suitable precipitation solvents are alcohols, for example methanol or ethanol. However, polyvinyl acetals having a low degree of acetalization may also be obtained as a solid from the aqueous

solution by spray-drying, in which case there may be a subsequent grinding step. The aqueous solution of the silane-containing polyvinyl acetal having a low degree of acetalization may also be used further as such for the appropriate applications after neutralization.

To stabilize the aqueous suspension of the silaneis possible modified polyvinyl acetal, it to use zwitterionic, cationic and nonionic anionic, emulsifiers, and also protective colloids. Preference 10 is given to using zwitterionic or anionic emulsifiers, in mixtures. The also appropriate emulsifiers used are preferably condensates of ethylene oxide or propylene oxide with linear or branched alcohols having from 8 to 18 carbon atoms, alkylphenols 15 or linear or branched carboxylic acids of from 8 to 18 carbon atoms, and also block copolymers of ethylene oxide and propylene oxide. Suitable anionic emulsifiers example, alkyl sulfates, alkylsulfonates, alkyl aryl sulfates, and also sulfates or phosphates of 20 condensates of ethylene oxide with linear or branched alkyl alcohols and having from 2 to 25 EO units, alkylphenols, and mono- or diesters of sulfosuccinic zwitterionic emulsifiers are, Suitable example, alkyldimethylamine oxides, the alkyl chain 25 carbon atoms. The cationic having from 6 to 16 emulsifiers used may be, for example, tetraalkylammonium halides such as C<sub>6</sub>-C<sub>16</sub>-alkyltrimethylammonium bromide. It is equally possible to use trialkylamines having one longer (≥ 5 carbon atoms) and two shorter 30 hydrocarbon radicals (< 5 carbon atoms), which present in protonated form in the course under highly acetalization which proceeds conditions and can act as an emulsifier. The amount of emulsifier is preferably from 0.01 to 20% by weight, 35 based on the total weight of the silane-modified polyvinyl acetal in the mother liquor. Preference is given to an amount of from 0.01 to 2% by weight of

emulsifier; particular preference is given to an amount of from 0.01 to 1% of emulsifier based on the silanemodified polyvinyl acetal.

The silane-modified polyvinyl acetals have a degree of 5 acetalization of from 1 to 80 mol%, preferably from 1 to 20 mol% and from 45 to 80 mol%. The viscosity of the silane-modified polyvinyl acetals (DIN 53015; Höppler method, 10% solution in ethanol) is from at least 4 mPas to 1200 mPas, preferably from 4 to 160 mPas. The 10 degree of polymerization is at least 130. The ethanolic solutions of the silane-modified polyvinyl acetal may be converted by selective crosslinking of free silanol groups (with crosslinking catalysts which are known to those skilled in the art) to a gel state (having a 15 viscosity distinctly greater than 1200 mPas) which is more or less marked depending on the silane content.

The silane-containing polyvinyl alcohols or polyvinyl the fields suitable for use in 20 acetals are application typical for these polymer classes. silane-containing polyvinyl acetals are suitable as a binder for printing inks, and in the form of their films for laminated safety glass and glass laminates, high-performance safety glass or glazing films. Water-25 polyvinyl silane-containing acetals are soluble, suitable as a protective colloid, for example aqueous dispersions and in polymerization in an aqueous medium, and in the preparation of dispersion powders redispersible in water. The silane-containing polyvinyl 30 acetals may also be used in water-based coatings, in powder coatings, as binders in corrosion protectants, as binders in the ceramics industry, as binders for ceramic powders and metal powders in powder injection molding and as binders for the interior coating of 35 cans.

The silane-containing polyvinyl alcohols are suitable

as protective colloids in polymerization, as binders for paper coating slips, and as binders for building materials, ceramics and wood.

The following examples serve to further illustrate the invention without restricting it in any way:

Preparation of the silane-containing polyvinyl ester solid resins:

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### Example 1:

A 2-liter stirred tank with reflux condenser, metering apparatus, thermostatable jacket and an anchor stirrer was initially charged with 427.27 g of methanol,

- 77.12 g of vinyl acetate (VAc), 647.84 mg (2.63 mmol) 15 1,1'-di(ethylformyl)tetramethyldisiloxane PPV (t-butyl perpivalate - 75% in 347.76 mg of aliphatics; half-life = 1 h at 74°C). The tank was heated to 60°C. On attainment of gentle reflux,
- metering of initiator started (4.99 g of PPV and 20 46.74 g of methanol). The first hour, the initiator was metered at 6 ml/h. After 1 hour of incipient polymerization, the monomer metering (390.3 g of VAc of 1.1'-3.28 g (13.32 mmol)
- di(ethylformyl)tetramethyldisiloxane) was subsequently 25 started over a period of 240 minutes (rate: 106 ml/h). At the same time, the initiator metering was increased to 12 ml/h. The initiator metering ran at this rate for 240 minutes and ended with the monomer metering. After
- the initiator metering, the reaction ran for another 30 120 minutes at temperature. After this postreaction time, the tank was heated for distillation, course of which fresh methanol which corresponded to the amount distilled off was added to the tank every 30
- minutes for 6 h (demonomerization). 35

#### Solid resin analyses:

Solids content (SC): 48.27% (methanolic solution); pure solid resin: viscosity (Höppler, 10% in ethyl acetate)

2.33 mPas; acid number AN (methanol) 8.98 mg KOH/g; Mw THF; polystyrene standards): eluent 31 000 g/mol; Mn: 12 200 g/mol; polydispersity Mw/Mn: 2.54; silicon content: 0.119% (alkaline digestion); Si content by 1H NMR: 0.126%.

## Example 2:

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As Example 1, with the difference that instead of 1,1'di(ethylformyl)tetramethyldisiloxane 2.075 g

(15.95 mmol) of 3-trimethylsilylpropionaldehyde were 10 used. Of this, 342.34 mg (2.63 mmol) were used in the initial charge; 1.733 g (13.32 mmol) were in the monomer metering.

#### Solid resin analyses:

- Solids content (SC): 49.32% (methanolic solution); pure 15 solid resin: viscosity (Höppler, 10% in ethyl acetate) 2.65 mPas; acid number AN (methanol) 5.31 mg KOH/g; Mw standards): GPC, eluent THF; polystyrene 38 000 g/mol; Mn: 14 000 g/mol; polydispersity Mw/Mn:
- 2.71; silicon content: 0.075% (alkaline digestion); Si 20 content by 1H NMR: 0.084%.

#### Example 3:

As Example 1, with the difference that instead of 1,1'-25 di(ethylformyl)tetramethyldisiloxane 3.033 q (15.95 mmol) of 3-(methyldiethoxy)silylpropionaldehyde were used. Of this, 500.0 mg (2.63 mmol) were used in the initial charge; 2.533 g (13.32 mmol) were in the monomer metering.

- Solid resin analyses: 30
  - Solids content (SC): 48.92% (methanolic solution); pure solid resin: viscosity (Höppler, 10% in ethyl acetate) 2.61 mPas; acid number AN (methanol) 6.02 mg KOH/g; Mw standards): GPC, eluent THF; polystyrene
- 37 500 g/mol; Mn: 13 700 g/mol; polydispersity Mw/Mn: 35 2.74; silicon content: 0.082% (alkaline digestion); Si content by 1H NMR: 0.087%.

#### Comparative Example 4:

As Example 1, with the difference that, instead of silane-containing aldehyde 1,1'-di(ethylformyl)tetra-3.127 g (15.94 mmol) οf 3 methyldisiloxane, mercaptopropyltrimethoxysilane (Wacker GF 70) were used. Of this, 515.5 mg (2.63 mmol) were used in the initial charge; 2.612 g (13.31 mmol) were in the monomer metering.

#### Solid resin analyses: 10

Solids content (SC): 49.72% (methanolic solution); pure solid resin: viscosity (Höppler, 10% in ethyl acetate) 2.11 mPas; acid number AN (methanol) 10.09 mg KOH/g; Mw GPC, eluent THF; polystyrene standards): (by

- 26 400 g/mol; Mn: 11 400 g/mol; polydispersity Mw/Mn: 15 2.32; silicon content: 0.081% (alkaline digestion); Evaluation: Here it was found that the polymerization is retarded by the presence of a mercapto-containing regulator (conversion with time in the polymerization
- lower than in Example 1, 2 and 3). In addition, slight 20 solution in the course of vellowing of the polymerization was observed when the mercapto silane was used.

#### 25 Example 5:

A 2-liter stirred tank with reflux condenser, metering apparatus, thermostatable jacket and an anchor stirrer was initially charged with 426.87 g of methanol, 77.05 g of vinyl acetate (VAc), 459.51 mg (1.87 mmol)

- of 1,1'-di(ethylformyl)tetramethyldisiloxane, 315.82 mg 30 of vinyltriethoxysilane (97%) and 347.44 mg of PPV (tbutyl perpivalate - 75% in aliphatics; half-life = 1 h at 74°C). The tank was heated to 60°C. On attainment of gentle reflux, the metering of initiator started
- (4.98 g of PPV and 46.70 g of methanol). The first 35 hour, the initiator was metered at 6 ml/h. After 1 hour incipient polymerization, the monomer metering (389.93 g of VAc, 1.61 g of vinyltriethoxysilane and

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2.345 g (9.53 mmol) of 1,1'-di(ethylformyl)tetramethyldisiloxane) was subsequently started over a period of 240 minutes (rate: 106 ml/h). At the same time, the increased to initiator metering was 12 ml/h. initiator metering ran at this rate for 240 minutes and ended with the monomer metering. After the initiator metering, the reaction ran for another 120 minutes at temperature. After this postreaction time, the tank was heated for distillation, in the course of which fresh methanol which corresponded to the amount distilled off added to the tank every 30 minutes for 6 h (demonomerization).

Solid resin analyses:

Solids content (SC): 50.70% (methanolic solution); pure solid resin: viscosity (Höppler, 10% in ethyl acetate) 15 2.48 mPas; acid number AN (methanol) 6.73 mg KOH/g; Mw GPC, THF; polystyrene standards): eluent 36 500 g/mol; Mn: 15 500 g/mol; polydispersity Mw/Mn: 2.35; silicon content: 0.153% (alkaline digestion); Si content by 1H NMR: 0.162%. 20

# Comparative Example 6:

As Example 5, with the difference that, instead of silane-containing aldehyde 1,1'-di(ethylformyl)tetra-25 methyldisiloxane, 2.238 g (11.41 mmol)of mercaptopropyltrimethoxysilane were used. Of 366.39 mg (1.87 mmol) were used in the initial charge; 1.872 g (9.54 mmol) were in the monomer metering. Solid resin analyses:

- Solids content (SC): 48.7% (methanolic solution); pure 30 solid resin: viscosity (Höppler, 10% in ethyl acetate) 2.37 mPas; acid number AN (methanol) 10.09 mg KOH/g; Mw GPC, eluent THF; polystyrene standards): 32 700 g/mol; Mn: 13 500 g/mol; polydispersity Mw/Mn:
- 2.42; silicon content: 0.113% (alkaline digestion); 35 Evaluation: Comparative Example 6 leads to a lower molecular weight than Example 5. The mercapto compound strong regulator. The silane aldehyde is a very

exhibits milder regulator action, even though 2 free aldehyde groups in the compound are transferred.

# Example 7:

- A 2-liter stirred tank with reflux condenser, metering 5 apparatus, thermostatable jacket and an anchor stirrer initially charged with 424.61 g of methanol, 72.81 g of vinyl acetate (VAc), 766.44 mg of VeoVal0, 3.10 g of isopropenyl acetate (IPAc, 99%), 766.44 mg of 1,1'-di(ethylformyl)tetramethyldi-10 (3.11 mmol)
- siloxane and 345.59 mg of PPV (t-butyl perpivalate -75% in aliphatics; half-life = 1 h at 74°C). The tank was heated to  $60^{\circ}\text{C}$ . On attainment of gentle reflux, the initiator started (4.95 g of PPV and metering of
- 46.45 g of methanol). The first hour, the initiator was 15 After 1 hour of incipient 6 ml/h.metered at polymerization, the monomer metering (368.47 g of VAc, 3.88 g of VeoVal0, 15.67 g of IPAc (99%) and 3.88 g 1,1'-di(ethylformyl)tetramethyldi-(15.76 mmol) of
- siloxane) was subsequently started over a period of 240 20 minutes (rate: 106 ml/h). Αt the same time, the increased to 12 ml/h. The initiator metering was initiator metering ran at this rate for 240 minutes and ended with the monomer metering. After the initiator
- metering, the reaction ran for another 120 minutes at 25 temperature. After this postreaction time, the tank was heated for distillation, in the course of which fresh methanol which corresponded to the amount distilled off added to the tank every 30 minutes for 6 h
- 30 (demonomerization).

# Solid resin analyses:

Solids content (SC): 47.61% (methanolic solution); pure solid resin: viscosity (Höppler, 10% in ethyl acetate) 2.26 mPas; acid number AN (methanol) 3.93 mg KOH/g; Mw

GPC, standards): 35 THF; polystyrene (by eluent 28 000 g/mol; Mn: 11 500 g/mol; polydispersity Mw/Mn: 2.43; silicon content: 0.155% (alkaline digestion); Si content by 1H NMR: 0.172%.

Comparative Example 8:

As Example 7, with the difference that, instead of silane-containing aldehyde 1,1'-di(ethylformyl)tetramethyldisiloxane, 3.703 g (18.87 mmol) of 3-mercapto-propyltrimethoxysilane were used. Of this, 611.59 mg (3.12 mmol) were used in the initial charge; 3.091 g (15.75 mmol) were in the monomer metering.

Solid resin analyses:

Solids content (SC): 44.58% (methanolic solution); pure solid resin: viscosity (Höppler, 10% in ethyl acetate) 1.98 mPas; acid number AN (methanol) 3.37 mg KOH/g; Mw (by GPC, eluent THF; polystyrene standards): 24 300 g/mol; Mn: 9800 g/mol; polydispersity Mw/Mn: 2.48; silicon content: 0.102% (alkaline digestion);

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## Example 9:

A 2-liter stirred tank with reflux condenser, metering apparatus, thermostatable jacket and an anchor stirrer was initially charged with 268.78 g of ethyl acetate, 121.83 g of vinyl acetate (VAc), 1.22 g (4.15 mmol) of 20 3,3-diethoxypropyltriethoxysilane and 549.33 mg of PPV (t-butyl perpivalate - 75% in aliphatics; half-life = 74°C). The tank was heated to attainment of gentle reflux, the metering of initiator 25 started (7.88 g of PPV and 51.49 g of ethyl acetate). The first hour, the initiator was metered at 5 ml/h. After 1 hour of incipient polymerization, the monomer metering (616.52 g of VAc and 6.17 g (20.98 mmol) of 3,3-diethoxypropyltriethoxysilane) was subsequently 240 minutes 30 started а period of over 167.4 ml/h). At the same time, the initiator metering was increased to 10.5 ml/h. The initiator metering ran at this rate for 300 minutes and ended 1 h after the monomer metering. After the initiator metering, 35 reaction ran for another 120 minutes at temperature. After this postreaction time, the tank was heated for distillation, in the course of which fresh methanol

which corresponded to the amount distilled off was

added to the tank every 30 minutes for 6 h (demonomerization).

Solid resin analyses:

Solids content (SC): 61.90% (methanolic solution); pure solid resin: viscosity (Höppler, 10% in ethyl acetate) 11.11 mPas; acid number AN (methanol) 5.61 mg KOH/g; Mw (by GPC, eluent THF; polystyrene standards): 188 600 g/mol; Mn: 43 500 g/mol; polydispersity Mw/Mn: 4.33; silicon content: 0.084% (alkaline digestion).

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Comparative Example 10:

As Example 9, with the difference that, instead of the full acetal of the silane-containing aldehyde 3,3-diethoxypropyltriethoxysilane, 4.943 g (25.19 mmol) of 3-mercaptopropyltrimethoxysilane were used. Of this, 814.57 mg (4.15 mmol) were used; 4.128 g (21.04 mmol) were in the monomer metering.

Solid resin analyses:

Solids content (SC): 62.02% (methanolic solution); pure solid resin: viscosity (Höppler, 10% in ethyl acetate) 4.42 mPas; acid number AN (methanol) 5.05 mg KOH/g; Mw (by GPC, eluent THF; polystyrene standards): 69 000 g/mol; Mn: 26 500 g/mol; polydispersity Mw/Mn: 2.60; silicon content: 0.076% (alkaline digestion).

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The summary of the mixtures for the preparation of the silane-containing polyvinyl ester solid resins is shown by Table 1.

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Тa	n		
_ T Q	. V.	$\tau \subset$	

Ex.	Regulator	Trans-	Mw	Mn	Polydis-	Si
	type, (amount	fer			persity	content
	in mmol)	(웅) <sup>1)</sup>				(왕) <sup>2)</sup>
1	C (15.95)	70	31 000	12 200	2.54	0.119
2	I (15.95)	94	38 000	14 000	2.71	0.075
3	I (15.95)	96	37 500	13 700	2.74	0.082
C4	SH-Silane	100	26 400	11 400	2.32	0.081
	(15.95)					-
5	C (11.40)	86	36 500	15 500	2.35	0.153
C6	SH-Silane	100	32 700	13 500	2.42	0.113
	(11.41)					
7	C (18.87)	91	28 000	11 500	2.43	0.155
C8	SH-Silane	100	24 300	9800	2.48	0.102
	(18.87)					
9	II (25.13)	20	188 600	43 500	4.33	0.084
C10	SH-Silane	100	69 000	26 500	2.60	0.076
	(25.19)					

- 1) The transfer of the regulator was determined with the aid of 1H NMR spectroscopy.
- 5 2) The silicon content was determined by alkaline digestion.

Preparation of the silane-containing polyvinyl alcohols:

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Example 11 (Hydrolysis of the resin from Example 9): A 2-liter stirred tank (at ambient pressure) with reflux condenser, metering apparatus and a paddle stirrer was initially charged with 437.7 g of a 61.9% polymer solution of the silane-containing polyvinyl 15 acetate solid resin from Example 9 in methanol which were diluted to a solids content of 20% with methanol. This solution was then heated to 55°C. Subsequently, 5.1 ml of 45% methanolic sodium hydroxide solution were 20 added rapidly. After the gel point, the methanolic

suspension was stirred at 55°C for 2 h. In order to obtain the precipitated PVA as an aqueous solution, the heated to distillation and suspension was distillate was replaced by water. This operation was 5 repeated until all of the methanol had been replaced by water.

Analogously to this process, the silane-containing polyvinyl ester solid resins from Examples 1, 2, 3, 5, 7 and 9, and also from the Comparative Examples 4, 6, 8 and 10 were hydrolyzed.

The analyses of the aqueous polyvinyl alcohol solutions are listed in Table 2.

Table 2

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Ex.	Resin	SC	AN	Нq	HN	Visco-	Si
	from	(왕)	mg		mg	sity	content
	Ex.		(KOH)/g		(KOH)/g	in	in
						mPas_	(%) <sup>*)</sup>
11	9	16.3	0	6.6	10.7	6.33	0.162
C12	C10	16.1	0	6.8	10.5	5.28	0.151
13	1	17.0	0.1	7.3	8.3	2.68	0.238
C14	C4	18.1	0.1	7.1	6.4	2.26	0.158
15	5	14.0	0	7.2	7.6	2.73	0.296
C16	C6	12.0	0	7.0	8.3	2.57	0.220
17	7	14.9	0	7.8	8.5	2.37	0.305
C18	C8	14.4	0	7.0	10.0	2.21	0.199
19	2	15.3	0.1	7.1	11.2	2.51	0.149
20	3	17.2	0	7.4	9.4	2.49	0.158

# Alkaline digestion

Preparation of the silane-containing polyvinyl acetals:

Example 21:

A 6-liter glass reactor was initially charged with 1141 ml of dist. water, 526 ml of 20% HCl and 678 ml of a 16.3% aqueous solution of the polyvinyl alcohol from Example 11, viscosity 6.33 mPas (DIN 53015; Höppler method; 4% aqueous solution). The initial charge was cooled to -2°C with stirring within one hour. 90 ml of butyraldehyde, precooled to -4°C, were now added within a period of 5 minutes. The internal reactor temperature rose to -1.0°C which, though, could be brought back to precipitation temperature of -2°C within a very short 10 time. Approx. 3 minutes after the butyraldehyde had been added, the initially clear mixture became milky and opaque, and the product precipitated out only 5 minutes later. After 40 minutes of reaction time at -2°C, the temperature was increased to 25°C over a 15 period of 3.5 hours and this temperature was maintained for a further 2 hours. The product was then filtered and washed under flowing, distilled water for approx. 15 minutes. Subsequently, the filtercake was placed back into the glass reactor and resuspended with 4 20 liters of distilled water. The measured pH of 3.1. The suspension was now made suspension was alkaline (pH 12.2) with 14 ml of 10% sodium hydroxide solution and stirred at room temperature for 15 hours. Subsequently, the suspension was heated to 50°C and 25 stirred for a further 4 hours. After cooling to room temperature, the product was in turn filtered and washed with distilled water until the filtrate had a neutral reaction. Subsequently, drying was effected down to a solids content of at least 98%, initially at 30 22°C, then at 35°C under reduced pressure. A silane-containing polyvinylbutyral having 16.0% by weight of vinyl alcohol units, 1.5% by weight of vinyl

acetate units and 82.5% by weight of vinyl butyral units was obtained. The viscosity of a 10% ethanolic solution to DIN 53015, Höppler method, was 143.2 mPas. Si content: 0.099% by

weight (all measurements with alkaline digestion).

Comparative Example 22:

As Example 21, but with 1600 ml of dist. water, 744 ml of 20% HCl, 127 ml of butyraldehyde and 970 ml of a 16.1% aqueous solution of the polyvinyl alcohol from Comparative Example 12, viscosity 5.28 mPas (DIN 5015; Höppler method; 4% aqueous solution). The pH after the resuspension was 3.3. The suspension was made alkaline (pH 12.3) with 20 ml of 10% sodium hydroxide solution and stirred at room temperature likewise for 15 hours and at 50°C for 4 hours.

A modified polyvinyl butyral having 17.4% by weight of vinyl alcohol units, 1.3% by weight of vinyl acetate units and 81.3% by weight of vinyl butyral units was

obtained. 15

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The viscosity (DIN 53015; Höppler method; 10% ethanolic solution) was 97.2 mPas. Si content: 0.093% by weight. The 10% ethanolic solution was yellow.

#### 20 Example 23:

A 6-liter glass reactor was initially charged with 1400 ml of dist. water, 492 ml of 20% HCl and 954 ml of a 17.0% aqueous solution of the polyvinyl alcohol from Example 13 - viscosity 2.68 mPas (DIN 53015; Höppler method; 4% aqueous solution). The initial charge was 25 cooled to 5°C with stirring within 45 minutes. Within a period of 5 minutes, 60 ml of acetaldehyde, precooled added. About 15 minutes after -4°C, were acetaldehyde addition, the solution which had been clear up to that point became slightly cloudy. 30 minutes after addition of the acetaldehyde, 76 ml of butyraldehyde precooled to -4°C were introduced within the same period. The internal reactor temperature rose to 6.8°C. It was cooled again to 5°C within a very short time. Approximately 5 minutes after the 35 butyraldehyde addition, the product precipitated out. of reaction time at 40 minutes After temperature was increased to 25°C over a period of 3.5

hours and this temperature was maintained for a further 2 hours. The product was then filtered and washed under distilled water for approx. 15 minutes. flowing, Subsequently, the filtercake was placed back into the reactor and resuspended with 4 liters of 5 value of distilled water. The measured Нq the suspension showed 3.1. The suspension was now made alkaline (pH 12.2) with 18 ml of 10% sodium hydroxide solution and stirred at room temperature for approx. 15 hours. Subsequently, the suspension was heated to 40°C 10 and stirred for a further 4 hours. After cooling to room temperature, the product was again filtered off and washed with distilled water until the filtrate had a neutral reaction. Subsequently, drying was effected down to a solids content of at least 98%, initially at 15 22°C, then at 35°C under reduced pressure. A modified polyvinyl acetal having 15.6% by weight of vinyl alcohol units, 1.1% by weight of vinyl acetate units and 83.3% by weight of vinyl acetal units was obtained. The viscosity (DIN 53015; Höppler method; 10% 20 ethanolic solution) was 27.8 mPas. The Si content was determined to be 0.149% by weight.

#### Comparative Example 24:

- 25 As Example 23, but with 1520 ml of dist. water, 512 ml 20% HCl, 62 ml of acetaldehyde, 79 ml of butyraldehyde and 928 ml of a 18.1% aqueous solution of from Comparative polyvinyl alcohol Example 14, viscosity 2.26 mPas (DIN 53015; Höppler method; aqueous solution). The pH after the resuspension was 30 3.4. The suspension was made alkaline (pH 12.4) with 19 ml of 10% sodium hydroxide solution and stirred at room temperature likewise for 15 hours and at 50°C for 4 hours.
- A modified polyvinyl acetal having 15.6% by weight of 35 vinyl alcohol units, 1.3% by weight of vinyl acetate units and 83.1% by weight of vinyl acetal units was obtained. The viscosity (DIN 53015; Höppler method; 10%

ethanolic solution) was 27.1 mPas. The Si content was determined to be 0.157% by weight. The 10% ethanolic solution was slightly yellow.

## Example 25:

As Example 21, but with 1450 ml of dist. water, 760 ml 5 of 20% HCl, 129 ml of butyraldehyde and 1216 ml of a 14.0% aqueous solution of the polyvinyl alcohol from Example 15, viscosity 2.73 mPas (DIN 53015; Höppler aqueous solution). 48 The Hq after method; resuspension was 3.6. The suspension was made alkaline 10 (pH 12.2) with 20 ml of 10% sodium hydroxide solution and stirred at room temperature likewise for 15 hours and at 40°C for 4 hours.

A modified polyvinyl butyral having 17.2% by weight of vinyl alcohol units, 1.4% by weight of vinyl acetate 15 units and 81.4% by weight of vinyl butyral units was obtained. The viscosity (DIN 53015; Höppler method; 10% ethanolic solution) was 112.2 mPas. Si content: 0.193% by weight.

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#### Comparative Example 26:

As Example 21, but with 1280 ml of dist. water, 750 ml of 20% HCl, 128 ml of butyraldehyde and 1400 ml of a 12.0% aqueous solution of the polyvinyl alcohol from Comparative Example 16, viscosity 2.57 mPas (DIN 53015; Höppler method; 4% aqueous solution). The precipitation temperature was -4°C. The pH after the resuspension was 3.6. The suspension was made alkaline (pH 12.2) with 20 ml of 10% sodium hydroxide solution and stirred at room temperature likewise for 15 hours and at 40°C for 4 hours.

A modified polyvinyl butyral having 17.6% by weight of vinyl alcohol units, 1.3% by weight of vinyl acetate units and 81.1% by weight of vinyl butyral units was

35 obtained.

> The viscosity (DIN 53015; Höppler method; 10% ethanolic solution) was 26.3 mPas. Si content: 0.136% by weight. The 10% ethanolic solution was intensely yellow.

#### Example 27:

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As Example 21, but with 1580 ml of dist. water, 780 ml of 20% HCl, 133 ml of butyraldehyde and 1170 ml of a 14.9% aqueous solution of the polyvinyl alcohol from Example 17, viscosity 2.37 mPas (DIN 53015; Höppler method; aqueous solution). The precipitation 4 용 temperature was -4 °C. The pH after the resuspension was 3.3. The suspension was made alkaline (pH 12.2) with 21 ml of 10% sodium hydroxide solution and stirred at

10 room temperature likewise for 15 hours and at 40°C for 4 hours.

A modified polyvinyl butyral having 17.1% by weight of vinyl alcohol units, 1.5% by weight of vinyl acetate units and 81.4% by weight of vinyl butyral units was

The viscosity (DIN 53015; Höppler method; 10% ethanolic solution) was 23.4 mPas. Si content: 0.189% by weight.

#### 20 Comparative Example 28:

obtained.

As Example 21, but with 1500 ml of dist. water, 750 ml of 20% HCl, 128 ml of butyraldehyde and 1170 ml of a 14.4% aqueous solution of the polyvinyl alcohol from Comparative Example 18, viscosity 2.21 mPas (DIN 53015;

- Höppler method; 4% aqueous solution). The precipitation 25 temperature was -5 $^{\circ}$ C. The pH after the resuspension was 3.6. The suspension was made alkaline (pH 12.2) with 20 ml of 10% sodium hydroxide solution and stirred at room temperature likewise for 15 hours and at 35°C for
- 30 4 hours.

A modified polyvinyl butyral having 17.5% by weight of vinyl alcohol units, 1.5% by weight of vinyl acetate units and 81.0% by weight of vinyl butyral units was obtained.

The viscosity (DIN 53015; Höppler method; 10% ethanolic 35 solution) was 20.6 mPas. Si content: 0.122% by weight. The 10% ethanolic solution was yellow.

## Example 29:

As Example 21, but with 1600 ml of dist. water, 770 ml of 20% HCl, 125 ml of butyraldehyde and 1140 ml of a 15.3% aqueous solution of the polyvinyl alcohol from Example 19, viscosity 2.51 mPas (DIN 53015; Höppler aqueous solution). The precipitation 4왕 temperature was -4°C. The pH after the resuspension was 3.3. The suspension was made alkaline (pH 12.3) with 21 ml of 10% sodium hydroxide solution and stirred at room temperature likewise for 15 hours and at 40°C for

A modified polyvinyl butyral having 18.2% by weight of vinyl alcohol units, 1.4% by weight of vinyl acetate units and 80.4% by weight of vinyl butyral units was

obtained. 15

4 hours.

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The viscosity (DIN 53015; Höppler method; 10% ethanolic solution) was 25.9 mPas. Si content: 0.094% by weight.

#### Example 30:

- As Example 21, but with 1700 ml of dist. water, 800 ml 20 of 20% HCl, 120 ml of butyraldehyde and 990 ml of a 17.2% aqueous solution of the polyvinyl alcohol from Example 20, viscosity 2.49 mPas (DIN 53015; Höppler solution). The precipitation method: 4 왕 aqueous
- temperature was -4°C. The pH after the resuspension was 25 3.3. The suspension was made alkaline (pH 12.4) with 22 ml of 10% sodium hydroxide solution and stirred at room temperature likewise for 15 hours and at 40°C for 4 hours.
- A modified polyvinyl butyral having 18.6% by weight of 30 vinyl alcohol units, 1.3% by weight of vinyl acetate units and 80.1% by weight of vinyl butyral units was obtained.

The viscosity (DIN 53015; Höppler method; 10% ethanolic solution) was 25.1 mPas. Si content: 0.101% by weight. 35

## Determination methods:

1. Determination of the dynamic viscosity of a solution of polyvinyl acetals (solution viscosity):  $90.00 \pm 0.01 \, \mathrm{g}$  of ethanol and  $10.00 \pm 0.01 \, \mathrm{g}$  of polyvinyl acetal were weighed in a 250 ml Erlenmeyer flask with ground-glass stopper and fully dissolved at  $50^{\circ}\mathrm{C}$  in the agitation machine. Subsequently, the solution was cooled to  $20^{\circ}\mathrm{C}$  and the dynamic viscosity (DIN 53015; Höppler method) was determined at  $20^{\circ}\mathrm{C}$  with a suitable sphere, for example sphere 3.

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2. Determination of the vinyl alcohol content:

The content of vinyl alcohol groups in the polyvinyl acetals was determined by the acetylation of the hydroxyl groups with acetic anhydride in the presence of pyridine and 4-dimethylaminopyridine.

To this end,  $1 \text{ g} \pm 0.001 \text{ g}$  of polyvinyl acetal was dissolved in 24 ml of pyridine and 0.04 g of 4-dimethylaminopyridine at  $50^{\circ}\text{C}$  within 2 hours. The solution, cooled to  $25^{\circ}\text{C}$ , was admixed with 10 ml of a

20 mixture of pyridine and acetic anhydride (87/13 parts by volume) and mixed intensively for 1 hour. 30 ml of a mixture of pyridine/water (5/1 parts by volume) was then added and the mixture was agitated for a further hour. Subsequently, titration was effected to pH 7 with

25 methanolic 0.5 N KOH.

Calculation:

% by weight of vinyl alcohol =  $[(100 \times M_w)/2000] \times (blank value in ml - sample ml)$ . Mw = average molecular weight per repeat unit of the polymer.

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3. Determination of the viscosity of the polyvinyl alcohol solutions:

The viscosity of the partly or fully hydrolyzed silanized polyvinyl ester solid resins used as starting materials was determined analogously to the determination of the dynamic viscosity of the polyvinyl acetals; only 4% aqueous solutions were used.